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MULTI-BODY **DYNAMICS**

Tri-planar balancing optimization of a double crank-rocker mechanism for shaking forces and shaking moments reduction

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Abstract. In this study, a new optimization approach is introduced to reduce vibration exerted on a double crank-rocker mechanism. A dynamic analysis based on planar methods of this mechanism is suggested, and formulation of shaking forces and shaking moments inducing vibration on this system is presented. A two-step optimization technique is suggested to study system sensitivity to the components of shaking forces and moments to enhance the mechanism's balancing process. This leads to identification of the most dominant parameters which are then used to formulate the objective functions of effective optimization. Each objective function is studied for each individual case, after which the outcome results of the mechanism's balancing optimization are introduced and discussed.

Key words: crank-rocker mechanism, balancing optimization, shaking forces, shaking moments.

1. INTRODUCTION

As regards the problem of the trade-off between shaking forces and shaking moments balancing of a four-bar mechanism, many studies have been conducted to minimize this gap and search for an optimal solution that suits each distinct case. Some of these studies are related to full or partial optimization to reduce both shaking forces and moments, while others are only concerned with one part of the problem at a time. In mechanical systems where forces are balanced using methods such as mass distribution or counterweight addition, the increment of shaking moment values is definite since it is affected by mass inertia [1]. Consequently, scholars have studied methods for shaking forces and moments reduction by adding counterweights to minimize their undesired effect on related systems.

Berkof [2] suggested a combination of redistribution of linkage masses and addition of counterweights to fully balance a four-bar linkage system even under variable speeds. In the proposal, the external loads were not considered during optimization. The cost of the proposal was the increase in the system's total mass and input torque. Also, Lowen and Berkof [3] studied shaking moment optimization of a force-balanced four-bar mechanism. It was concluded that there were many factors that affected the optimal optimization of a specific system such as linkage length ratios, mass and density characteristics, and the input angular velocity.

Some limitations were also present when performing the optimization process, which needed to be taken into consideration. Of these limitations, there were more than one optimization minima, of which only one could be

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attained. Moreover, applying the concept of optimal mass distribution of a mechanism is only limited to specific configurations. The ability to eliminate forces through different means can lead to an inevitable increase in moment values, owing to many reasons such as the increase in the system's total mass. Arakelian [4] presented a method for shaking moment balancing of self-balanced double-acting slider-crank mechanisms. It was noticed that the reaction forces were cancelled out due to a specific mechanism arrangement. However, the shaking moments were still present, which could lead to undesired vibration. The author suggested that shaking moments could be eliminated using the combination of the effect of pantograph linkage and application of a mass redistribution of the coupler link. As a result, both the shaking moment and input torque were optimized.

To eliminate the vibration induced by shaking moments from another perspective, Acevedo et. al. [5] suggested a redundant motor to counter the inertial mass of a previously force-balanced four-bar linkage. This method is easier in terms of application, and it prevents any mass increment of the system or complexity in the original configuration. Moreover, this method can be applied to a wider range of working mechanisms under the condition of the system's pre-balanced reaction forces.

The balancing of a spatial mechanism has also been considered and studied on different occasions. Arakelian [6] presented a simplified method to achieve complete balancing of spatial linkages related to forces and moments caused by inertial loads. The author suggested replacing the original configuration of the coupler by two concentrated masses on both ends. This would transform the balancing method into rotating linkages with concentrated masses. Several researchers have performed dynamic analyses and balancing of spatial multibody systems by means of comprehensive computational study [7] while others [8,9] have proposed different balancing methods through the development of optimization techniques to enhance such systems.

In this paper, the problem of the trade-off between shaking forces and shaking moments exerted on a double crank-rocker mechanism is introduced. This problem was studied earlier in [10], where the two-dimensional dynamic analysis was only introduced. Hence, the objective of this research was to perform a study to minimize the vibration acting on a double crank-rocker (DCR) mechanism considering a three-dimensional dynamic analysis. The proposed method involves a trade-off between shaking force and shaking moment balancing. This elementary mechanism architecture is suggested to overcome vibration resulting from shaking forces and moments, mainly due to its ability to counter the inertial action between different mechanism linkages, similar ideas were reported in [1]. The method of using counterweights for shaking force balancing was applied and the optimization of this system is presented. However, due to this process, an increase in the system's mass led to the increase in shaking moments, further enhancement could be introduced to achieve optimal results. A simplified approach of the triplanar analysis of different forces and moments is introduced, which is then used to perform a three-dimensional balancing optimization process. In addition, a developed method applying the best objective function for optimal balancing optimization is suggested, followed by a comparison between optimization results using enhanced objective functions.

2. DOUBLE CRANK-ROCKER TRI-PLANAR DYNAMIC ANALYSIS

In this section, a dynamic analysis of a DCR mechanism is introduced, as well as a 3-dimensional configuration and a planar based study of this system is presented. Figure 1 shows the basic configuration of the DCR mechanism along with kinematic representation. The geometry of linkages is represented by numbers (1 to 6), the corresponding angles between the links (θ , Υ , φ) are a crank angle, transmission angle and throw angle, respectively. These parameters were identified using methods presented in the previous study [11]. In addition, counterweights used for force balancing are represented by letters (a, b, c, and d), and joint reaction forces are shown on two planes (y-x and z-x).

Many methods for determining reaction forces acting on a planar four-bar mechanism have been discussed in previous studies. However, in this study we adopted the well-known methods mentioned in [12–15]. The essence of these studies was to apply the theory of the system's virtual work to find all the forces acting on different linkages which are the result of summing up of external forces, linkages' internal and transitional momentum forces. The



Fig. 1. Configuration and dynamic analysis of DCR mechanism: 3D configuration (a), kinematic scheme (b), plan view y-z (c), and plan view z-x (d).

resultant forces were then translated into equivalent reaction forces acting on the ground pivot. By applying this method to the DCR mechanism, the joint shaking forces desired for a balanced system could be expressed by:

$$F_x = F_{C2x} + F_{R1x} - (F_{C1x} + F_{R2x}), \tag{1}$$

$$F_{y} = F_{C1y} + F_{R1y} - (F_{R2y} + F_{C2y}),$$
⁽²⁾

where F_x and F_y are shaking forces on x- and y-directions and defined positive according to the coordinate directions. Furthermore, F_{C1} and F_{C2} represent reaction forces by the crank linkages 1 and 4 respectively, on the ground pivot O₁. Similarly, F_{R1} and F_{R2} are reaction forces by the rocker linkages 3 and 6 respectively, on the ground pivot O₂. However, only forces in the x- and y-directions were considered since forces acting in the z-direction have a negligible value, as indicated in Fig. 2.

To determine reaction moments, a simplified approach was introduced by extending the planar analysis method into a multi-planar analysis and then the resultant moment in each plane was concluded. Also, only moments caused by shaking forces were considered, where moments caused by inertia were included in calculations of the reaction forces of joints. Moreover, mechanism inertial moments were expected to be highly balanced by means of mechanism duplication. In this study, three moment components which are acting in three planes (y-x, z-x and y-z) were considered, namely M_z , M_y and M_x respectively, and they could be found by calculating moments at point G that mediate ground pivots on the z-x plane, Fig. 1. Assuming a clockwise moment as the positive direction in balancing this mechanism, the calculations to find the total moment M_z resulting from forces acting on the z-x plane are presented by:

$$M_{z} = \frac{O_{1}O_{2}}{2} \times \left(F_{C1y} - F_{C2y} + F_{R1y} - F_{R2y}\right),\tag{3}$$



Fig. 2. Crank and rocker shaking forces.

where O_1O_2 is the distance between ground pivots of the DCR mechanism. Similarly, the moment M_y at point G can be found by:

$$M_{y} = \frac{A_{1}A_{2}}{2} \times (F_{C1x} + F_{C2x} - F_{R1x} - F_{R2x}), \qquad (4)$$

where A_1A_2 is the space distance between both the 1st and the 2nd DCR mechanism components. And for the plane y-z, the moment M_x caused by shaking forces in the y-direction can be expressed by:

$$M_{x} = \frac{A_{1}A_{2}}{2} \times \left(F_{R1y} + F_{R2y} - F_{C1y} - F_{C2y}\right).$$
(5)

As suggested earlier, the effect of the shaking force F_z was not considered due to negligible values. Moments acting on an unbalanced system are shown in Fig. 3, indicating the dominance of the shaking moment M_z compared to M_x and M_y .

3. OPTIMIZATION PROCESS

Previously, an optimization study based on a planar dynamic analysis was discussed and validated for balancing a DCR mechanism [10]. In this study, the aforesaid method was adopted and introduced to investigate the effectiveness of implementing the three-dimensional dynamic approach. Usually, the optimization process starts with identifying the system components, system constraints, design variable and objective function [16]. As there



Fig. 3. Total shaking moment.

are many different approaches to identify the desired objective functions, in this study a practical approach was suggested to present an effective objective function based on studying the impact of the sub-components of dynamic shaking forces and shaking moments. For the DCR mechanism, it was desired to achieve better results when performing optimization on such systems. Thus, two-step identification of different objective functions was implemented – the first step was based on analysing the shaking forces and moments acting on this mechanism, and then the second step was to compare the results obtained from the first step. The functions which contributed to the best results were selected for the optimization process. The elements considered for the optimization objective functions (OFs) were forces presented in the equations (1)–(4). Introducing the root mean square (RMS) of the total forces led to the following expression [17,18]:

RMS
$$(F_t) = \sqrt{\frac{1}{n} \sum_{i}^{n} F_{xi}^2 + F_{yi}^2}$$
. (6)

The expression for the RMS of the moments shown in (3) and (4) is represented by:

RMS
$$(M_t) = \sqrt{\frac{1}{n} \sum_{i}^{n} M_{yi}^2 + M_{zi}^2 + M_{Xi}^2}$$
 (7)

First, each of the equations (1)–(7) was individually utilized for optimization process as an objective function (OF), and then a new OF that introduced a combination of the best force and moment OF was introduced to test all the options that elevated the optimization process. To decide on the best OF, a comparison between balanced and unbalanced (UB) mechanism values is presented using a balancing effect index BI which was introduced by [19]:

$$BI = \frac{MAX \text{ or RMS value of balanced mechanism}}{MAX \text{ or RMS value of unbalanced mechanism}}$$
(8)

The idea was to choose the best performance OF based on shaking forces and combine it with the best results of the shaking moment based OF to enhance the optimization process. The root mean square (RMS), maximum (MAX) and balancing effect index (BI), the results of the preliminary optimization process of different OFs on the system's shaking force components (i.e., F_x , F_y and F_t) are presented in Table 1. The optimization process was performed using commercial software MSC ADAMS[®].

Based on these results, it can be noticed that the OF that has the best BI in terms of shaking forces reduction is the equation representing the total shaking forces F_t in (6). Although the BI results for the force component F_x and F_y are better, the BI value of F_t shows the best total reduction, which gives an indication of force component cancellation. At the same time, the shaking moment OF using M_z also gives better optimization for the total forces present in the system than that of M_t , while M_x and M_y show higher BI values in shaking forces. Similarly, Table 2 presents RMS, MAX, and BI values of different optimization OFs on the system's shaking moments.

OF	F _x		Fy		F _t	
	RMS	MAX	RMS	MAX	RMS	MAX
UB	2837.65	4340.96	787.55	1316.12	2347.34	3430.60
F_{x}	92.29	172.96	318.54	487.94	341.84	659.92
BI	0.03	0.04	0.40	0.37	0.15	0.19
F_y	268.37	449.54	190.03	350.42	216.86	505.17
BI	0.09	0.10	0.24	0.27	0.09	0.15
F_t	258.77	350.42	265.53	444.09	238.78	459.06
BI	0.09	0.08	0.34	0.34	0.10	0.13
M_{x}	2841.12	4013.47	1277.72	2562.64	2380.25	430743.00
BI	1.00	0.92	1.62	1.95	1.01	125.56
M_{ν}	2998.64	4529.70	1194.90	2318.93	2673.80	4841.93
ВÌ	1.06	1.04	1.52	1.76	1.14	1.41
M_z	195.21	350.16	238.41	386.83	253.83	536.90
BI	0.07	0.08	0.30	0.29	0.11	0.16
M_t	578.13	785.24	788.15	1268.64	748.15	1079.54
BI	0.20	0.18	1.00	0.96	0.32	0.31

Table 1. RMS, MAX and BI results of shaking force components

Using the same concept through the comparison of results, the objective function utilizing M_t resulted in the best BI for reducing shaking moments, indicating that it is the best at the total system's moment reduction. In addition, the objective function of the force component F_{y} also yielded better results than F_{t} OF in balancing the system's shaking moments. Hence, based on the preliminary optimization results shown, it can be decided on the following options as an objective function to enhance the balancing process. These options are:

> OF₁: min $(F_t + M_t)$, OF₂: min $(F_t + M_z)$. (9)

$$OF_2:\min(F_t + M_z), \tag{10}$$

OF₃: min $(F_v + M_t)$, (11) $OF_4: \min(F_v + M_z)$ (12)

OF₅: min
$$(F_t + F_y + M_t + M_z)$$
. (13)

Table 2. RMS, MAX, and BI results of shaking moment components

OF	M_{χ}		M	My		Mz		M _t	
	RMS	MAX	RMS	MAX	RMS	MAX	RMS	MAX	
UB	1.29E+05	3.63E+05	1.27E+05	2.67E+05	2.61E+06	3.50E+06	2.59E+06	3.42E+06	
F_{x}	2.30E+05	1.88E+05	3.03E+05	5.82E+05	5.90E+06	8.66E+06	5.96E+06	8.60E+06	
BI	1.78	0.52	2.39	2.18	2.26	2.47	2.30	2.51	
F_y	2.86E+05	4.53E+05	3.75E+05	7.48E+05	1.14E+06	1.65E+06	1.26E+06	1.92E+06	
BI	2.22	1.25	2.95	2.80	0.44	0.47	0.49	0.56	
F_t	2.27E+05	3.55E+05	3.06E+05	6.19E+05	3.15E+06	4.61E+06	3.14E+06	4.51E+06	
BI	1.76	0.98	2.41	2.32	1.21	1.32	1.21	1.32	
M_{x}	1.28E+05	2.20E+05	1.28E+05	2.64E+05	2.59E+06	3.48E+06	2.57E+06	3.34E+06	
BI	0.99	0.61	1.01	0.99	0.99	0.99	0.99	0.98	
M_y	1.35E+05	2.29E+05	1.23E+05	2.24E+05	5.02E+06	7.13E+06	5.06E+06	7.10E+06	
BI	1.05	0.63	0.97	0.84	1.92	2.04	1.95	2.08	
M_z	2.50E+05	4.03E+05	3.36E+05	6.57E+05	6.83E+03	1.32E+04	5.00E+05	9.22E+05	
BI	1.94	1.11	2.65	2.46	0.003	0.004	0.19	0.27	
M_t	1.56E+05	2.85E+05	2.15E+05	4.01E+05	3.14E+04	4.97E+04	3.22E+05	5.63E+05	
BI	1.21	0.79	1.69	1.50	0.01	0.01	0.12	0.16	

Part	Length (mm)	Mass (kg)	Moment of inertia Ixx, Iyy, Izz, (kg·mm ²)
Crank linkages 1, 4	70.7	2.920	1.2E+04, 7071.3, 5746.6
Coupler linkages 2, 5	282.8	1.958	1.6E+04, 1.6E+04, 319.5
Rocker linkages 3, 6	337.5	2.41	3.04E+04, 3.02E+04, 395.5
0 ₁ 0 ₂	470.9	NA	NA
A_1A_2	100	NA	NA

Table 3. DCR mechanism specifications

NA – not applicable.

 Table 4. System design variables

Design	Initial value	Lower limit	Upper limit
variables			
CW _a (kg)	2	0.01	20
CW _b (kg)	4	0.01	20
CW _c (kg)	3	0.01	20
CW _d (kg)	5	0.01	20
D _{a,b} (mm)	0	0	142
D _{c,d} (mm)	0	0	354

Referring to Fig. 1, the model of the DCR mechanism has the properties listed in Table 3. The crank angular speed was kept constant at 2000 rpm with no external forces or moments acting on this mechanism.

The design variables considered in the optimization process are introduced in Table 4. Four counterweight masses $(CW_{a,b,c,d})$ and their corresponding positions $(D_{a,b,c,d})$ were utilized, the initial values were arbitrary selected.

4. RESULTS AND DISCUSSION

In this study, a new approach was applied to study the effect of different optimization parameters on reducing the shaking forces and moments of a DCR mechanism. The objective functions (9)–(13) were used to perform the optimization process where the identified design variables were counterweight masses and positions. There were four counterweights placed along crank and rocker linkages (a, b, c, and d), see Fig. 1. For the five cases using these objective functions in the optimization process introduced in Section 3, the results are shown in Fig. 4.

Shaking forces are presented to compare the results between different objective functions (OF1, OF2, OF3, OF4, and OF5) and the unbalanced (UB) initial status of the DCR mechanism.

The results of the shaking moment components M_z , M_y , M_z and M_t from the five cases are compared and plotted in Fig. 5.

The optimization results are presented in Table 5, which indicates the different RMS values of forces and moments resulting from each objective function, as well as the percentage (%) of reduction in these parameter values.

For better reduction in shaking forces, OF1 and OF3 showed higher reduction percentages where F_x , F_y and F_t , were reduced by about 96%, 67% and 89%, respectively. These were followed by OF2, which reduced the total forces F_t by about 88%. However, OF4 and OF5 showed the least reduction of these forces. Moreover, in the case of OF4, an increment of the force component F_y was recorded by almost 69%, and the incremental values were preceded by the negative sign.



Fig. 4. Unbalanced (UB) and balanced shaking force components for the suggested OF.

Similarly, the total shaking moments caused by this mechanism were highly reduced by OF5 with the reduction of 95%, followed by OF1 and OF3 where almost the same results were obtained; and the reduction in the total shaking moments components M_t was about 83%. However, the results of the moment components M_x and M_y increased in all cases, and the values were preceded by the negative sign. This could be explained by many reasons such as the dominancy of M_z as a higher moment acting physically on the system and a larger value presented numerically in the objective function. In addition, the optimization process tends to reduce the shaking effect, and this can be achieved through the counteraction of moment component, even though this might cause increments in the final value of each component.



Fig. 5. Unbalanced (UB) and balanced shaking moment components for the suggested OF.

		OF1	OF2	OF3	OF4	OF5
F_{x}	RMS	90.78	906.45	110.25	1467.81	578.52
	Reduction%	96.801	68.056	96.115	48.274	79.613
F_y	RMS	259.34	1028.18	249.17	1337.12	387.38
	Reduction%	67.070	-30.554	68.361	-69.782	50.812
F_t	RMS	244.2	262.72	253.95	1801.94	541
	Reduction%	89.597	88.808	89.181	23.235	76.953
M_{χ}	RMS	2.10E+05	3.37E+05	2.17E+05	3.03E+05	2.95E+05
	Reduction%	-62.791	-161.240	-68.217	-134.884	-128.682
M_y	RMS	2.73E+05	4.52E+05	2.82E+05	3.87E+05	3.91E+05
	Reduction%	-114.961	-255.906	-122.047	-204.724	-207.874
M_z	RMS	92 520.16	1.44E+05	69 143	1.34E+06	8.71E+05
	Reduction%	96.455	94.483	97.351	48.659	66.628
M_t	RMS	4.24E+05	6.72E+05	4.31E+05	1.51E+06	1.11E+05
	Reduction%	83.629	74.054	83.359	41.699	95.714

Table 5. RMS and reduction % results

5. CONCLUSIONS

This paper has discussed the impact of different optimization parameters when performing tri-planar balancing enhancement of a DCR mechanism. This system was expected to have better balancing due to its duplicated mechanism, expected to overcome inertial moments caused by its linkages. Therefore, balancing optimization was introduced to minimize other factors causing system vibration, namely reaction forces and moments caused by relevant inertial forces.

A simplified tri-planar dynamic analysis of this mechanism was introduced and presented as a planar mechanism problem. The DCR mechanism model runs with the constant speed of 2000 rpm and has the design variables identified as the masses and positions of the attached counterweights. An approach of choosing the best objective function was presented to solve the optimization problem, and this led to five distinct objective functions (i.e., OF1, OF2, OF3, OF4, and OF5), which were decided based on the balancing effect index BI.

The outcome results show that the reduction percentages related to OF1 and OF3 were the best in eliminating all shaking forces and the moment component M_z as these caused an increase in the moment component M_y by almost double (the minus sign of reduction percentage represents an increase in the respective value). Likewise, OF2 showed better results in reducing the total shaking forces than OF5, but there was less performance in shaking moment reduction. The overall results of OF4 were less effective in reducing shaking force and shaking moment components than other objective functions.

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