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## SWITCHES AND JUMPS IN HYBRID ACTION SYSTEMS

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**Abstract.** Hybrid action systems extend a conventional action system with a guarded differential equation -a differential action - that defines the evolution of continuous variables while the guard remains true. The new action is given the weakest liberal precondition semantics, which is illustrated by examples from a train model.

The example motivates a discussion of priorities in a system of iterated differential and ordinary actions. The conclusion is a proposal for a standard form with an urgency of discrete actions. The result is compared with the Branicky's classification in "may" and "must" jumps or switches. The asynchronous "may" switches can be modelled as interrupts of evolutions.

Key words: actions, weakest liberal precondition, hybrid systems.

#### **1. INTRODUCTION**

Hybrid systems are mathematical models for dynamical systems that exhibit behaviours which alternate at the aperiodic instants of time between smooth evolution and discrete transition. Typical examples of such systems are found in the area of hierarchical, computer-based control of complex, composite physical systems, like modern vehicles, chemical plants, or integrated manufacturing facilities. A description and design of such systems requires a combination of theories and techniques from the fields of control and software engineering.

In this paper, we use the established *Action Systems* framework [1] extended with a differential action [2] to define and discuss various combinations of discrete

transitions and continuous evolutions. The main result is a characterization of the different jumps in the Branicky's unified model  $[^3]$  by iterated, non-deterministically composed guarded discrete and differential actions.

We start by defining actions, including differential actions in Section 2. Their effect is illustrated by a train example. In Section 3, we study alternation of differential and discrete actions. At the end, we introduce action systems and identify a class of action systems suitable for modelling and analysis of hybrid systems. Next, Section 4 investigates the relation between hybrid action systems and the Branicky's unified model for hybrid systems [<sup>3</sup>]. The link is illustrated by a hysteresis example. Finally, the conclusions are presented in Section 5.

#### 2. ACTIONS

An action is any statement in the Dijkstra's guarded command language  $[^4]$ . Also, the pure guarded commands can be used as actions. In a system, the actions operate on a fixed set of variables, which identify a state in the system when assigned values. Therefore, predicates over the variables provide a convenient way of specifying and reasoning about states in a system. Such a predicate is called a condition.

Given an action A, we may desire a *postcondition* q to hold after the execution. The meaning or the semantics for the action A can thus be given by a mapping between predicates, for instance, the *weakest liberal precondition* transformer, 'wlp(, )', which maps q to wlp(A, q). This predicate describes the largest set of states from which A either reaches the postcondition q or fails to terminate.

Termination is defined by another transformer, 't'. The set of states from which an action A terminates, i.e., reaches an arbitrary state, is given by tA.

When reasoning about sequential programs, termination is most useful, so one uses the *weakest precondition* transformer, which Dijkstra [<sup>4</sup>] defines by  $wp(A, q) = wlp(A, q) \wedge tA$ .

The weakest precondition defines the basic property for any action: the set of states in which an action is enabled, i.e., the states from which an action A may start, is given by  $gA = \neg wp(A, false)$  or equivalently  $\neg wlp(A, false) \lor \neg tA$ . Thus, it is ensured that either the action terminates with some result or it never terminates.

#### 2.1. Elementary and composite actions

In this paper, we use capital letters such as X, Y, E, and F to denote a vector of variables and other components. We use subscripts when referring to the components in a vector.

We shall ignore typing of variables and expressions and assume that all expressions used in definitions and examples are defined appropriately.

Let q[E/X] denote the textual substitution of free variables X with expressions E in a predicate q. Also, let p be a predicate. The definitions for assert and other elementary actions, also given in [<sup>5,6</sup>], are

$wlp(\{p\}, q)$	$\widehat{=}$	$p \Rightarrow q$
wlp(abort, q)	$\widehat{=}$	true
wlp(skip, q)	$\widehat{=}$	q
wlp(X := E, q)	$\widehat{=}$	q[E/X]

Note that *abort* never terminates, whereas an {}, **skip**, and an assignment always terminate.

Let, as before, A and B be actions, and p be a predicate. The sequential composition, the composition by a non-deterministic choice, as well as prefixing an action with a guard are defined as

 $\begin{array}{lll} \operatorname{wlp}(A;B,\,q) & \widehat{=} & \operatorname{wlp}(A,\,\operatorname{wlp}(B,\,q)) \\ \operatorname{wlp}(A \,\|\,B,\,q) & \widehat{=} & \operatorname{wlp}(A,\,q) \wedge \operatorname{wlp}(B,\,q) \\ \operatorname{wlp}(p \to A,\,q) & \widehat{=} & p \Rightarrow \operatorname{wlp}(A,\,q) \end{array}$ 

Termination is defined using analogous formulas.

The iteration has the liberal semantics

wlp(do A od, q)  $\hat{=} \forall n \ge 0 \cdot wlp(A^n, gA \lor q),$ 

where  $A^{n+1} \cong A$ ;  $A^n$  with  $A^0 \cong$  skip.

For the iteration, the termination is t(do A od) =  $\exists n \ge 0 \cdot \neg g A^n$ .

#### 2.2. Differential action

The differential action [<sup>2</sup>] causes the given variables X to evolve according to a system of differential equations as long as the guard e holds. This guard condition may use the variables X. We use the standard convention of writing a system of differential equations as  $\dot{X} = F(X)$ , where  $\dot{X}$  is a component-wise first derivative of X.

**Definition 1.** Let e be a guard and q be a postcondition, both may speak of the variables X. The differential action  $e: \dot{X} = F(X)$  has the semantics

wlp(e:  $\dot{X} = F(X), q) \cong$   $\exists \Phi \cdot \Phi(0) = X \land \dot{\Phi} = F(\Phi) \land$  $(\forall \tau \ge 0 \cdot (e \lor q) [\Phi(\tau)/X] \lor \exists 0 \le \delta < \tau \cdot \neg e[\Phi(\delta)/X])$ 

In the semantics, the first two conjuncts require the existence of the solution  $\Phi$  for the differential equation  $\dot{X} = F(X)$ . The third conjunct isolates the first point in time, where the guard ceases to hold.

Termination is defined exactly by the existence of such an instant:

$$t e: X = F(X) \cong$$
  
$$\exists \Phi \cdot \Phi(0) = X \land \dot{\Phi} = F(\Phi) \land$$
  
$$\exists 0 \le \delta \cdot \neg e[\Phi(\delta)/X]$$

Note that if the action terminates at  $\delta$ , then  $q[\Phi(\delta)/X]$  holds.

#### An example of a travelling train

Modelling of a system with different kinds of continuous behaviour requires the use of several differential actions. An example of such a system is a travelling train, which accelerates to travelling velocity and travels with that velocity up to a deceleration point, from where the train decelerates to a full stop.

Let x be the position of the train and v its velocity. The phase, where the train accelerates to travelling velocity tv, is modelled as a differential action

$$v < tv$$
:  $\dot{x}, \dot{v} = v, 1$ 

The next phase, where the train travels with constant velocity up to a deceleration point d, is modelled as

$$x < d : \dot{x} = v$$

The last phase, where the train decelerates to a full stop, is modelled as

$$v > 0 : \dot{x}, \dot{v} = v, -1$$

In this example, the phases take place consecutively. In order to model the entire system, we could combine these three actions sequentially. However, this requires that we know what the sequence is. Another, a more general way, is to iterate the actions and let the actions themselves take the right sequence. In the case of a travelling train, we would have

This interleaving of continuous evolutions *switches* x between different controlling differential equations. Another typical hybrid phenomenon is *jumping*, where a continuous evolution is followed by a discrete change. We could, for instance, add a "restart" action to the program above

$$x > d \land v = 0 \quad \rightarrow \quad x := 0$$

At the end of the journey, the train jumps back to start. Our objective in the following is to investigate a standard form for expressing such phenomena with the iteration of differential and ordinary actions.

#### **3. HYBRID ALTERNATION**

There are several ways to model hybrid alternation, but not all of them are equally suitable for modelling the alternation in a hybrid system. We investigate different forms of alternation, where time is assumed to progress during differential actions, but not during any other actions.

The alternations are studied in a standard form do  $A_1 \| A_2 \| \dots A_n$  od, where all the actions  $A_1, \dots, A_n$  are free of non-deterministic composition. An iteration that is not in this form is translated to the standard form by atomicity refinement [<sup>7</sup>]. For example, an iteration

 $\begin{array}{rcl} \mathbf{do} & p & \rightarrow & (g \rightarrow A_1 \parallel \neg g \rightarrow A_2) \\ \parallel & \neg p & \rightarrow & A_3 \\ \mathbf{od.} \end{array}$ 

where p and g are predicates and  $A_1$ ,  $A_2$ , and  $A_3$  are actions without nondeterministic choice, is translated to the standard form by propagating the guard pin the first action yielding

do	$p \wedge g$	$\rightarrow$	$A_1$	od
0	$p \land \neg g$	$\rightarrow$	$A_2$	
0	$\neg p$	$\rightarrow$	$A_3$	

A differential action describes how the variables evolve when the guard is enabled. It also states that when the guard does not hold, the differential action has no effect on the variables. This is called stuttering. When thinking of how a hybrid system behaves, we are mainly interested in the stutter-free behaviour. An infinite stuttering is seen as termination. Therefore, we introduce a notational short form for a stutter-free differential action, which is  $e :\rightarrow \dot{X} = F(X)$  with the meaning of  $e \rightarrow e : \dot{X} = F(X)$ . The stutter-free differential action has the property that it is enabled only when the evolution guard holds, i.e.,  $g(e :\rightarrow \dot{X} = F(X)) = e$ .

#### 3.1. Strict alternation

In strict alternation, continuous evolution is given a chance for execution after every discrete change.

Assuming that the discrete changes D are modelled by a non-deterministic composition of ordinary actions, the continuous evolutions DA are modelled by a non-deterministic composition of stutter-free differential actions, and that two auxiliary boolean variables *disc* and *cont* are available, the strict alternation takes the standard form

```
\begin{array}{ll} \{disc \ \land \ \neg cont\}; \\ \textbf{do} \ \ disc \ \land \ gD \ \ \rightarrow \ \ D; \ disc, cont := false, true \\ \\ \parallel \ \ cont \ \land \ gDA \ \ \rightarrow \ \ DA; \ disc, cont := true, false \\ \textbf{od} \end{array}
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The assertion in front of the iteration ensures that the discrete change may take place before the continuous evolution.

The strict alternation has a major drawback, it cannot model switching. Between continuous evolutions there must be a discrete change, otherwise the system terminates. Moreover, the strict alternation allows continuous evolution only if some discrete change has occurred. However, if no continuous evolution is enabled, the discrete changes may takes place successively.

The effect of the continuous evolution may be observed only at that point of time, when the corresponding differential action terminates. Also, the number of observing ordinary actions is limited; there can be only one observation by one enabled ordinary action. This means that, if two actions were enabled, they would be competing for the execution, even if they do not disable each other, because the continuous evolution taking place immediately after the first discrete change could alter the state space in such a way that the other ordinary action would become disabled.

The strict alternation terminates, when the system cannot jump anymore,  $(\neg disc \lor \neg gD) \land (\neg cont \lor \neg gDA).$ 

#### 3.2. Prioritized strict alternation

In this form of alternation, the continuous evolution is given a chance for execution after all discrete changes have taken place.

The prioritized strict alternation has the standard form

Like in the strict alternation, the effect of the continuous evolution may be observed only after the execution of the corresponding differential action. However, the prioritized strict alternation allows several observations at the same time. Any enabled actions are executed before a new continuous evolution takes place, provided that the actions do not disable each other. Therefore, this model has the potential to execute discrete changes forever. Since the discrete changes are assumed to be timeless, the infinite execution would correspond to time holding still. This, in turn, is interpreted as aborting.

The termination of prioritized strict alternation is slightly more complicated than in the strict alternation. The prioritized strict alternation terminates when no discrete change is enabled and some continuous evolution has already been executed, that is  $\neg gD \land (\neg cont \lor \neg gDA)$ . This underlines the drawback of this model; it cannot model switching. Moreover, the alternation must start with a discrete change.

#### 3.3. Prioritized alternation

In the prioritized alternation, the discrete changes have a priority over continuous evolutions. However, the discrete changes may take place only when no continuous evolution is being executed.

The prioritized alternation has the standard form

$$\begin{array}{cccc} \mathbf{do} & \mathbf{g}D & \to & D\\ \mathbb{I} & \neg \, \mathbf{g}D & \to & DA\\ \mathbf{od} & \end{array}$$

Like in the prioritized strict alternation, the effect of the continuous evolution may be observed by several discrete actions after the execution of the differential action. The prioritized alternation has also the potential for the discrete changes to abort.

In comparison to the prioritized strict alternation, the continuous evolutions are more independent of the discrete changes. The alternation may start with a continuous evolution, even if there are no enabled discrete changes, and the continuous evolutions may appear successively. This means that the prioritized alternation can model both switching and jumping in hybrid systems.

The prioritized alternation terminates when no more jumping or switching occurs, i.e., both discrete changes and continuous evolutions are disabled,  $\neg gD \land \neg gDA$ . This is seen by transforming the iteration to the normal form. The action  $\neg gD \rightarrow DA$  has the same semantics as the action  $\neg gD \land gDA \rightarrow DA$ .

## 3.4. Interrupting prioritized alternation

In the interrupting prioritized alternation, the discrete changes have unconditional priority over continuous evolutions. In other words, the discrete changes occur whenever enabled, even when the continuous evolution modelled by a differential action has not terminated.

Let DI be a non-deterministic composition of stutter-free differential actions of the form  $\neg gD \land e :\rightarrow \dot{X} = F(X)$ . The prioritized alternation has the standard form

$$\begin{array}{cccc} \mathbf{do} & \mathbf{g}D & \to & D\\ \mathbb{I} & \neg \, \mathbf{g}D & \to & DI\\ \mathbf{od} \end{array}$$

Unlike in the previous forms of alternations, the effect of the continuous evolution is observable at the points of time defined by the discrete action guards. An enabled discrete action is executed immediately when it becomes enabled. In order to ensure this, the states, in which the discrete actions are enabled, are excluded by the evolution guard. The discrete changes may execute forever causing the alternation to abort. Like the prioritized alternation, the interrupting prioritized alternation can model both switching and jumping.

The interrupting prioritized alternation terminates when both discrete changes and continuous evolutions are disabled, i.e.,  $\neg gD \land \neg gDI$ . This is seen by transforming the iteration to the normal form.

#### 3.5. The chosen form of alternation

The prioritized alternation is chosen as the form of hybrid alternation to be used in hybrid action systems. The prioritized alternation has the simplest standard form, and it is not as limited as the strict alternation and the prioritized strict alternation. The interrupting prioritized alternation gives too much priority to discrete actions, it weakens the evolution guard, causing the continuous evolution to vanish sometimes.

This phenomenon is illustrated by the example below.

Consider an adaptive bottle filler, where the filling is stopped depending on how full the previous bottle was. Let x be the liquid level in the current bottle, p be the liquid level in the previous bottle, and f(x,p) be the decision function to stop the filling. The adaptive bottle filling is captured by a differential action  $f(x,p) : \dot{x} = 1$ . We know that when the bottle is empty, the value of x is zero. However, because we do not know how full the bottle will be, we would like to model the change of bottle as an action  $x > 0 \rightarrow p, x := x, 0$ , which is to be executed right after the bottle has been filled. If we use the interrupting prioritized alternation to combine these actions, we obtain

which would hardly fill any bottle, because  $x \le 0 \land f(x, p)$  becomes false when there is something in the bottle. The same problem does not appear in the prioritized alternation (end of example).

For the prioritized alternation, we give the short form

#### alt D with DA

with the meaning do  $gD \rightarrow D \parallel \neg gD \rightarrow DA$  od. This form of alternation is used for identifying hybrid action systems that are used in modelling and analysis of hybrid systems.

(1)

#### 4. JUMPS AND SWITCHES IN THE UNIFIED MODEL

Branicky gives the dynamics of the unified model with the help of jump sets in [<sup>3</sup>]. Here jump means a jump from one state of the system to another. Since in hybrid action systems, the values of the variables define the state of the system, a jump set may model both switching and jumping in the system. The used jump sets are autonomous jump sets A, controlled jump sets C, and the corresponding jump destination sets T. Informally, the system evolves continuously until it enters either A or C. If the state is in A, the system must make a jump into the destination state T according to the autonomous jump transition map G, which relates states in A to states in T with the current control input. If the entered state is in C, the system may choose to change the state into a state in T. An illustration of the dynamics is shown in Fig. 1. In the unified model, there are also jump delay maps, which express the time delay associated to each jump in the system.



Fig. 1. Example of the dynamics of the unified model. The dashed lines represent jumps and the solid lines continuous evolutions.

Next, we describe the behaviour of a hybrid action system in terms of the jump sets. These we obtain from the hybrid alternation (??) that is present in a hybrid action system.

#### Autonomous jump sets

Let the continuous behaviour in a hybrid alternation be a non-deterministic composition of a general form

$$\begin{array}{l} e_1 \wedge g_1 :\to \dot{X} = F_1(X) \\ \| \dots \\ \| e_n \wedge g_n :\to \dot{X} = F_n(X), \end{array}$$

where for all i = 1...n, the  $e_i$  speaks only of evolving variables X and  $g_i$  is free of X. A continuous evolution in the system (solid arrow in Fig. 1) is modelled by a differential action in the composition above. For each differential action i = 1...n, the autonomous jump set  $A_i$  is defined by the predicate  $\neg e_i \land g_i$ , which specifies all those states, to which the corresponding evolution may lead. Together all the individual autonomous jump sets form the autonomous jump sets A of the system.

This covers the case of finite collections of jump sets. For countable infinite jump sets, one might encode the current index as a program variable ci and augment

the guards by the condition ci = i, and similarly, replace  $F_i$  by a function dependent on ci. The result would be one remaining differential action.

## Jump transition map and jump destination sets

Let the discrete changes in the hybrid alternation be described by an action  $gD \rightarrow D$ , where D may be a non-deterministic composition of actions. A jump transition map  $G_i$  for an autonomous jump set is computed by  $\{A_i\}$ ; do  $gD \rightarrow D$  od. Thus, the corresponding jump destination set is defined by

$$\exists X_0 \cdot wlp(\{A_i\}; do gD \to D od, X = X_0)$$

The jump transition maps and the jump destination sets for individual autonomous jump sets form together the jump transition map G and the jump destination sets T of the system.

#### **Controlled jump sets**

A hybrid action system does not exhibit explicit controlled jump sets, where the evolution may change anywhere within the jump set. However, we can identify restricted controlled jump sets, where the evolution may change at some predetermined subregions.

Let the following non-deterministic composition be a part of the continuous behaviour in a hybrid alternation

$$e_1 :\to \dot{X} = F(X)$$
  
$$\| \dots \| e_n :\to \dot{X} = F(X)$$

This describes uniform behaviour in the region e, which is a disjunction of the evolution guards  $e_1 \vee \ldots \vee e_n$ . If there exists such an order for the differential actions in the non-deterministic composition that

$$\forall i \cdot \exists j \cdot wlp(e_j : \rightarrow X = F(X), e_i) = true, where i = 2...n and j = 1...n,$$

the region e is path connected. In that case, the differential actions describe the paths that connect the subregions. Such a path connected region e is a controlled jump set. The subregions, where the evolution may change, are specified by the negations of the individual evolution guards  $\neg e_1, \ldots, \neg e_n$ .

The separate controlled jump sets form together the controlled jump sets C of the system. The corresponding jump destination sets are included in the jump destination sets T.

#### **Transition delay maps**

There are no transition delay maps attached to the hybrid alternation. This is due to the approach that the time is implicitly present only during the continuous evolution modelled by the differential actions. If we wish to add the notion of delay to the jumps taking place in the system, we may model the delay explicitly with an extra variable and a differential action that evolves it.

#### 4.1. The other alternation models

The relation between the other hybrid alternation models in Section 3 and the Branicky's unified model is more restricted than the relation of the chosen form of hybrid alternation.

As mentioned earlier, the problem with the strict alternation and with the prioritized strict alternation is that they do not allow switching. This excludes the controlled jump sets. Furthermore, these models require that the system starts by a discrete change, which is a limitation not present in the unified model.

The interrupting prioritized alternation makes the evolution guards interruptible by the discrete changes. Hence, every evolution is taken within a controlled jump set specified by the evolution guard. This is a special case in the unified model, where the dynamics is always covered by a controlled jump set.

# 4.2. A hysteresis example

We illustrate the relation between hybrid action systems and the Branicky's unified model with a hysteresis example from [<sup>3</sup>]. In this example, a room is controlled by a thermostat. The difference from the desired room temperature is denoted by d. The desire is to keep a room temperature such that  $-l \leq d \leq l$  by either heating the room, h = 1, or cooling the room, h = -1, where h is used as a control variable. The heating is kept on as long as d < l, otherwise the thermostat changes to cooling. Similarly, the cooling is kept on as long as -l < d, otherwise the thermostat changes to heating. The heating is on initially. Figure 2 illustrates the control policy.



Fig. 2. The control policy for the thermostat.

The hybrid alternation modelling of the behaviour of the thermostat has four actions. The two ordinary actions change from heating to cooling and from cooling back to heating, and the two differential actions model the continuous evolution during the heating and the cooling. Let f(d, h) model the changes in the difference of the room temperature from the desired temperature. The hybrid alternation for

the thermostat is

alt 
$$h = 1 \land l \leq d \qquad \rightarrow h := -1$$
  
 $\| \qquad h = -1 \land d \leq -l \qquad \rightarrow h := 1$   
with  $d < l \land h = 1 \qquad : \rightarrow \dot{d} = f(d, h)$  (2)  
 $\| \qquad -l < d \land h = -1 \qquad : \rightarrow \dot{d} = f(d, h)$  (3)

For the differential actions in this hybrid alternation, we obtain the autonomous jump sets by taking the partial negation of the evolution guards, yielding

$$\begin{array}{rcl} A_1 &=& d \geq l \,\wedge\, h = 1 \\ A_2 &=& -l \geq d \,\wedge\, h = -1 \end{array}$$

For these jump sets, we obtain the associated jump destination sets by computing the result of iterating the ordinary actions, yielding

$$T_1 = d \ge l \land h = -1$$
  
$$T_2 = -l \ge d \land h = 1$$

The connection between the autonomous jump sets and the jump destination sets is that when the evolution enters  $A_1$ , the system jumps autonomously to  $T_1$ . Similarly, the system jumps autonomously from  $A_2$  to  $T_2$ . The same jump set characterization was obtained for this example from [<sup>3</sup>].

### **5. CONCLUSIONS**

In this paper, we have studied different models of hybrid alternation and how the priority in these models limits the hybrid phenomena that they can exhibit. From these models, the prioritized alternation is proposed as the standard form because it is the most general model.

We have also compared the chosen form of alternation with the Branicky's unified model. We have shown that the hybrid alternation captures the autonomous jump sets, predetermined controlled jump sets, and the jump destination sets in the unified model. The jump transition map is computed by the discrete actions, and the transition delay maps are modelled with differential actions. Thus, we have shown indirectly, how to translate a system with predetermined controlled jump sets in the unified model to a hybrid alternation and vice versa. Branicky has given in [<sup>3</sup>] the link between hybrid automata and the unified model. Therefore, we can use tools designed for the analysis of hybrid automata, like HyTech, for analyzing hybrid alternation as well.

Since the semantics for the actions is given with predicate transformers, we can also use theorem provers, like PVS, for verifying properties in the hybrid alternation. The theorem provers are efficient especially in proving invariant properties, which makes this an attractive subject for future work.

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## ÜMBERLÜLITUSED JA HÜPPED HÜBRIIDSETES TEGEVUSSÜSTEEMIDES

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Hübriidsed tegevussüsteemid laiendavad harilikke tegevussüsteeme tingimuslike diferentsiaalvõrranditega ehk nn. diferentsiaaltegevustega. Diferentsiaaltegevused defineerivad pidevate muutujate trajektoori faasid, mille ulatus on määratud vastava tegevuse lubava tingimuse kehtivusega. Uue tegevustüübi jaoks on esitatud nõrgima liberaalse eeltingimuse semantika, mida illustreerib rongi mudeli näide. Näide on aluseks ka diskussioonile prioriteetidest iteratiivsetes diferentsiaal- ja harilikes tegevussüsteemides. Järeldusena on esitatud diskreetsete tegevuste standardkuju ning tulemust on võrreldud Branicky klassifikatsiooniga, kus trajektoori hüpped ja ümberlülituspunktid jagatakse võimalikeks ja kohustuslikeks lülituspunktideks. Asünkroonseid võimalikke ümberlülitusi on modelleeritud kui pideva trajektoori murdepunkte.